



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Millard E. Sweatt, III, Jeff Hastings, Don Woodward, Chris E. Matichuk, Shahriar Kianersi, Gurminder Sunner, Alain Regnier, Sunil Mohan, Hugh E. Cooney, Edward King, Philippe Pignon, and Mark Nudelman  
 APPLICATION NO.: 09/925,120  
 FILING DATE: August 8, 2001  
 TITLE: Method and System for Remote Television Replay Control Technology Center 2100  
 EXAMINER: Not Yet Known  
 GROUP ART UNIT: 2152  
 ATTY. DKT. NO.: 22407-05390

RECEIVED

JAN 25 2002

Technology Center 2100

| CERTIFICATE OF MAILING  |                          |        |                  |
|---|--------------------------|--------|------------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |                          |        |                  |
| Signature:  | <i>Martin S. C. Loui</i> |        |                  |
| Typed or Printed Name:  | Martin S. C. Loui        | Dated: | JANUARY 17, 2002 |
| Express Mail Mailing Number (optional):   | EL566206180US            |        |                  |

COMMISSIONER FOR PATENTS  
 WASHINGTON, DC. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred;  
OR
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:
- (1) a final action under 37 CFR §1.113; OR
  - (2) a notice of allowance under 37 CFR §1.311; AND
- ☐ attached hereto is the fee of \$180, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;  
OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or; to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- OR
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either:

- [1] a final action under 37 CFR § 1.113; OR
- [2] a notice of allowance under 37 CFR § 1.311; AND  
in accordance with the requirements of 37 CFR § 1.97(d):
- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

☒ Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
MILLARD E. SWEATT, III, ET AL.

Dated: JANUARY 17, 2002

By: Martin S. C. Loui  
Martin S. C. Loui, Reg. No.: 43,411  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7901  
Fax.: (650) 494-1417



2152#8  
BT  
02-05-02  
+

PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

|  |                     |  |                        |                        |
|--|---------------------|--|------------------------|------------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence during pendency of filed application)</i> | 0001/PTO Rev. 10/95 | U.S. Department of Commerce<br>Patent and Trademark Office | Application Number     | 09/925,120             |
|  |                     |  | Filing Date            | August 8, 2001         |
|  |                     |  | First Named Inventor   | Millard E. Sweatt, III |
|  |                     |  | Group Art Unit Number  | 2152                   |
|  |                     |  | Examiner Name          | Not Yet Known          |
| Total Number of Pages in This Submission   |                     | 6**  | Attorney Docket Number | 22407-05390            |

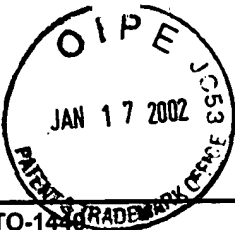
**RECEIVED**  
JAN 25 2002  
Technology Center 2100

| ENCLOSURES (check all that apply)  |   |
|--|---|
| <input type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input type="checkbox"/> Check Enclosed  | <input type="checkbox"/> Issue Fee Transmittal  |
| <input checked="" type="checkbox"/> Return Receipt Postcard  | <input type="checkbox"/> Letter to Chief Draftsperson   |
| <input type="checkbox"/> Response to Notice to File Missing Parts  | <input type="checkbox"/> Formal Drawing(s):<br>[ ] Sheet(s) of Figure(s) [ ]                  |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences           |
| <input type="checkbox"/> Declaration   | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Power of Attorney   | <input type="checkbox"/> Certified Copy of Priority Document(s)                               |
| <input type="checkbox"/> Application Data Sheet  | <input type="checkbox"/> After Allowance Communication to Group                               |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO-1449<br><input checked="" type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Request for Corrected Filing Receipt  | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Request for Correction of Recorded Assignment   | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Amendment/Response: [ ] Page(s)<br><input type="checkbox"/> After Final   | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Status Request  | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Revocation and Substitute Power of Attorney   | <input type="checkbox"/> _____  |

**REMARKS:** \*\* "Total Number of Pages in this Submission" does not include cited references.

| SIGNATURE OF ATTORNEY OR AGENT |                                    |                         |
|--------------------------------|------------------------------------|-------------------------|
| Signature:                     |                                    |                         |
| Attorney/Reg. No.:             | Martin S. C. Loui, Reg. No. 43,411 | Dated: JANUARY 17, 2002 |

| CERTIFICATE OF MAILING  |                   |                         |
|---|-------------------|-------------------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |                   |                         |
| Signature:  |                   |                         |
| Typed or Printed Name:  | Martin S. C. Loui | Dated: JANUARY 17, 2002 |
| Express Mail Mailing Number (optional):   | EL566206180US     |                         |



|  |   |  |                                 |
|--|---|--|---------------------------------|
| <b>FORM PTO-1449</b><br>(REV. 6-89)<br><b>INFORMATION DISCLOSURE CITATION</b><br><br>(Use several sheets if necessary) | <b>U.S. DEPARTMENT OF COMMERCE</b><br>Patent and Trademark Office | <b>Attorney's Docket No.</b><br>22407-5390 | <b>Serial No.</b><br>09/925,120 |
|  | <b>Applicant</b><br>Millard E. Sweatt, III, et al.                |  |                                 |
|  | <b>Filing Date</b><br>August 8, 2001                              | <b>Group Art Unit</b><br>2152              |                                 |

**U.S. PATENT DOCUMENTS**

| Examiner Initial |   | Document Number | Date     | Name   | Class | Subclass | Filing Date If Appropriate |
|------------------|---|-----------------|----------|--------|-------|----------|----------------------------|
|                  | A | 6,327,418       | 12-4-01  | Barton | 386   | 46       |                            |
|                  | B | 6,310,886       | 10-30-01 | Barton | 370   | 462      |                            |
|                  |   |                 |          |        |       |          |                            |
|                  |   |                 |          |        |       |          |                            |
|                  |   |                 |          |        |       |          |                            |
|                  |   |                 |          |        |       |          |                            |
|                  |   |                 |          |        |       |          |                            |
|                  |   |                 |          |        |       |          |                            |

**RECEIVED**  
JAN 25 2002  
Technology Center 2100

**FOREIGN PATENT DOCUMENTS**

|  |   | Document Number | Date     | Country | Class | Subclass | Translation |    |
|--|---|-----------------|----------|---------|-------|----------|-------------|----|
|  |   |                 |          |         |       |          | Yes         | No |
|  | C | 01/89203 A2     | 11-22-01 | WO      | H04N  | 5/00     |             |    |
|  | D | 01/65862 A2     | 9-07-01  | WO      | H04N  | 71/73    |             |    |
|  | E | 01/65762 A2     | 9-07-01  | WO      | H04L  | 9/00     |             |    |
|  | F | 01/47279 A2     | 6-28-01  | WO      | H04N  | 7/26     |             |    |
|  | G | 01/46843 A2     | 6-28-01  | WO      | G06F  | 17/00    |             |    |
|  | H | 01/47249 A2     | 6-28-01  | WO      | H04N  | 5/00     |             |    |
|  | I | 01/47238 A2     | 6-28-01  | WO      | H04N  |          |             |    |
|  | J | 01/22729 A1     | 3-29-01  | WO      | H04N  | 5/92     |             |    |
|  | K | 01/06370 A1     | 1-25-01  | WO      | G06F  | 11/267   |             |    |
|  | L | 00/67475 A1     | 11-09-00 | WO      | H04N  | 5/445    |             |    |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |

|                 |                        |
|-----------------|------------------------|
| <b>EXAMINER</b> | <b>DATE CONSIDERED</b> |
|-----------------|------------------------|

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

